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November 24, 2003

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS DD, Sommissioner for Patents, P.O. Box 1450, Alexandra, VA 22313 1450, on the date below:

November 24, 2003

Date

David L. Parker

MS DD

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

RE:

U.S. Patent Application No. 10/605,708 entitled "CHIMERIC GENE CONSTRUCTS

FOR GENERATION OF FLUORESCENT TRANSGENIC ORNAMENTAL FISH" -

Zhivuan Gong et al.

Our reference: GLOF:007USC1

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement and Form PTO-1449.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/GLOF:007USC1.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

David L. Parker Reg. No. 32,165

DLP/kmv

Encl.: as noted

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Zhiyuan Gong *et al*.

Serial No.: 10/605,708

Filed: October 22, 2003

For: CHIMERIC GENE CONSTRUCTS FOR GENERATION OF FLUORESCENT TRANSGENIC ORNAMENTAL FISH Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: GLOF:007USC1

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#### INFORMATION DISCLOSURE STATEMENT

MS DD

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first

Official Action reflecting an examination on the merits, and hence is believed to be timely filed

in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the

filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R.

§§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the

Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit

Account No.: 50-1212/GLOF:007USC1.

This application is a continuation application of Serial No. 09/913,898, filed October 3,

2001, and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with

Rule 37 C.F.R. § 1.98(d) copies of the listed documents are not enclosed as they have been

previously cited by or submitted to the Patent and Trademark Office in prior application Serial

No. 09/913,898.

Applicants respectfully request that the listed documents be made of record in the present

case.

Respectfully submitted,

David L. Parker

Reg. No. 32,165

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Austin, Texas 78701 (512) 474-5201

Date:

November 24, 2003

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List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

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Atty. Docket No. GLOF:007USC1

Serial No. 10/605,708

**Applicant** 

Zhiyuan Gong et al.

Filing Date: October 22, 2003 Group: Unknown

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Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
_	A1	2002/0013955	1/31/02	Ogden et al.	800	20	6/10/98
	A2	6,380,458	4/30/02	Lin	800	20	6/9/97
	A3	6,472,583	10/29/02	Winn	800	3	10/26/99
	A4	5,876,995	03/02/99	Bryan	435	189	11/25/96

## **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	WO 96/03034	2/8/96	PCT			
	B2	WO 98/15627	4/16/98	PCT			
	В3	WO 98/56902	12/17/98	PCT			

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	C7	Bayer et al., "Functional test of the ependymin promoter by transient expression in zebrafish embryos," The Zebrafish Science Monitor, p. 3, June 1, 1992.		
	C8	Brem et al., "Gene transfer in tilapia (Oreochromis niloticus)," Aquaculture, 68:209-219, 1988.		
	C9	Chalife et al., "Green fluorescent protein as a marker for gene expression," Science, 263:802-805, 1994.		
	C10	Chen and Fishman, "Tinman in zebrafish heart development," p. 135, Abstracts of papers presented at the 1994 meeting on Zebrafish Development & Genetics, April 27—May 1, 1994.		
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Page 4 of 7 Farm PTO-1449 (modified) Atty. Docket No. Serial No. GLOF:007USC1 10/605,708 List of Patents and Publications for Applicant's Applicant Zhiyuan Gong et al. INFORMATION DISCLOSURE STATEMENT Filing Date: Group: (Use several sheets if necessary) Unknown October 22, 2003 **U.S. Patent Documents Foreign Patent Documents** Other Art See Page 1 See Page 1 See Page 1

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Zhiyuan Gong et al.

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Applicant

Zhiyuan Gong et al.

Filing Date: October 22, 2003 Unknown

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	C60	Powers et al., "Electroporation: a method for transferring genes into the gametes of zebrafish (Brachydanio rerio), channel catfish (Ictalurus punctatus), and common carp (Cyprinus carpio)," Mol. Marine Biol. and Biotech., 1(4/5):301-308, 1992.
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EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (modified) List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT Filing Date: Group: (Use several sheets if necessary) October 22, 2003 **U.S. Patent Documents Foreign Patent Documents** See Page 1 See Page 1

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of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

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Atty. Docket No. GLOF:007USC1 Serial No. 10/605,708

**Applicant** 

Zhiyuan Gong et al.

Filing Date: October 22, 2003 Group: Unknown

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	C79	Wang et al., "Expression of the antifreeze protein gene in transgenic goldfish (Carassius auratus) and its implication in cold adaption," Mol. Marine Biol. and Biotechnology, 4:20-26, 1995.
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